## Sleep apnea

#### Introduction

People with mental disorders may show increased rates of co-occurring conditions including sleep apnea. The most common form of sleep apnea is obstructive sleep apnea (OSA), which is seen in around 5-10% of the general population and occurs when the muscles of the upper airway relax in such a way that they block the airway during sleep. As a result, OSA is associated with daytime sleepiness, cognitive dysfunction, and the development of hypertension, cardiovascular abnormalities disease. and in glucose metabolism. OSA also has adverse effects on quality of life and can lead to anxiety and depression symptoms.

#### Method

We have included only systematic reviews (systematic literature search, detailed methodology with inclusion/exclusion criteria) published in full text, in English, from the year 2010 that report results separately for people with PTSD. Reviews were identified by searching the databases MEDLINE, EMBASE, and PsycINFO. When multiple copies of reviews were found, only the most recent version was included. We prioritised reviews with pooled data for inclusion.

Review reporting assessment was guided by the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) checklist that describes a preferred way to present a meta-analysis<sup>1</sup>. Reviews with less than 50% of items checked have been excluded from the library. Note that early reviews may have been guided by less stringent reporting checklists than the PRISMA, and that some reviews may have been limited by journal guidelines.

Evidence was graded using the Grading of Recommendations Assessment, Development and Evaluation (<u>GRADE</u>) Working Group approach where high quality evidence such as that gained from randomised controlled trials



(RCTs) may be downgraded to moderate or low if review and study quality is limited, if there is inconsistency in results, indirect comparisons, imprecise or sparse data and high probability of reporting bias. It may also be downgraded if risks associated with the intervention or other matter under review are high. Conversely, low quality evidence such as that gained from observational studies may be upgraded if effect sizes are large or if there is a dose dependent response. We have also taken into account sample size and whether results are consistent, precise and direct with low associated risks (see end of table for an explanation of these terms)<sup>2</sup>. The resulting table represents an objective summary of the available evidence, although the conclusions are solely the opinion of staff of NeuRA (Neuroscience Research Australia).

### Results

We found two systematic reviews that met our inclusion criteria.

- Moderate quality evidence finds around half of people with PTSD have obstructive sleep apnea. Rates are highest in veterans, in older people, and in people diagnosed using the apnea-hypopnea index with a cut-off of 5 rather than 10 events per hour of sleep.
- Moderate quality evidence finds large effects of improved PTSD symptoms and reduced nightmares after continuous positive airway pressure, a common treatment for obstructive sleep apnea.

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### Zhang Y, Weed JG, Ren R, Tang X, Zhang W

Prevalence of obstructive sleep apnea in patients with posttraumatic stress disorder and its impact on adherence to continuous positive airway pressure therapy: a meta-analysis

Sleep Medicine 2017; 36: 125-32

View review abstract online

Comparison	Rates of obstructive sleep apnea in people with PTSD.
Summary of evidence	Moderate quality evidence (large sample, inconsistent, imprecise, direct) finds around half of people with PTSD have obstructive sleep apnea. Rates are highest in veterans, in older people, and in people diagnosed using the apnea-hypopnea index with a cut-off of 5 rather than 10 events per hour of sleep.

#### **Obstructive sleep apnea**

Diagnosed using the apnea-hypopnea index; the number of apnea/hypopnea events per hour of sleep

Around half of people with PTSD have obstructive sleep apnea;

10 studies, N = 632, prevalence = 55.1%, 95%Cl 38.5% to 70.7%,  $l^2 = 90.5\%$ 

Veterans with PTSD had significantly higher prevalence rates of obstructive sleep apnea than nonveterans or mixed samples (62.45% vs. 7%).

Increased age was also related to higher prevalence rates of obstructive sleep apnea in people with PTSD.

Prevalence of obstructive sleep apnea in people with PTSD was non-significantly higher in studies using an apnea-hypopnea index criteria cut-off of ≥5 than in studies using a cut-off of ≥10 (75.7% vs. 43.6%).

There were no moderating effects of sex or body mass index.

People with obstructive sleep apnea and PTSD had lower adherence to continuous positive airway pressure therapy than people with obstructive sleep apnea alone.

Consistency in results <sup>‡</sup>	Inconsistent
Precision in results <sup>§</sup>	Appears imprecise
Directness of results	Direct

### Zhang Y, Ren R, Yang L, Zhou J, Sanford LD, Tang X

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August 2021



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#### The effect of treating obstructive sleep apnea with continuous positive airway pressure on posttraumatic stress disorder: A systematic review and meta-analysis with hypothetical model

Neuroscience and Biobehavioral Reviews 2019; 102: 172-83

View review abstract online

Comparison	Continuous positive airway pressure for people with PTSD and comorbid obstructive sleep apnea (mostly pre-post analysis).
	Continuous positive airway pressure introduces air flow into the airways to maintain a continuous pressure to constantly stent the airways open.
Summary of evidence	Moderate quality evidence (small to medium-sized sample, inconsistent, imprecise, direct) finds large effects of improved PTSD symptoms and reduced nightmares following continuous positive airway pressure.
	Symptoms
A large e	effect of decreased PTSD symptoms post-treatment;
4 studies, $N = 1$	98, SMD = -1.30, 95%Cl -2.13 to -0.47, <i>p</i> = 0.002, l <sup>2</sup> = 93%
A larg	e effect of decreased nightmares post-treatment;
4 studies, $N = 2$	00, SMD = -0.78, 95%Cl -1.23 to -0.33, <i>p</i> = 0.001, l <sup>2</sup> = 84%
Consistency in results	Inconsistent
Precision in results	Imprecise
Directness of results	Direct

### Explanation of acronyms

CI = confidence interval,  $I^2$  = the percentage of the variability in effect estimates that is due to heterogeneity rather than sampling error (chance), N = number of participants, OR = odds ratio, p = statistical probability of obtaining that result, SMD = standardised mean difference

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### Explanation of technical terms

Bias has the potential to affect reviews of both RCT and observational studies. Forms of bias include; reporting bias - selective reporting of results; publication bias - trials that are not formally published tend to show less effect than published trials, further if there are statistically significant differences between groups in a trial, these trial results tend to get published before those of trials without significant differences: language bias - only including English language reports; funding bias - source of funding for the primary research with selective reporting of results within primary studies; outcome variable selection bias: database bias including reports from some databases and not others; citation bias - preferential citation of authors. Trials can also be subject to bias when evaluators are not blind to treatment condition and selection bias of participants if trial samples are small<sup>3</sup>.

† Different effect measures are reported by different reviews.

Prevalence refers to how many existing cases there are at a particular point in time. Incidence refers to how many new cases there are per population in a specified time period. Incidence is usually reported as the number of new cases per 100,000 people per year. Alternatively some studies present the number of new cases that have accumulated over several years against a person-years denominator. This denominator is the sum of individual units of time that the persons in the population are at risk of becoming a case. It takes into account the size of the underlying population sample and its age structure over the duration of observation.

Reliability and validity refers to how accurate the instrument is. Sensitivity is the proportion of actual positives that are correctly identified (100% sensitivity = correct identification of all actual positives) and specificity is the proportion of negatives that are correctly identified (100% specificity = not identifying anyone as positive if they are truly not).

Weighted mean difference scores refer to mean differences between treatment and comparison groups after treatment (or occasionally pre to post treatment) and in a randomised trial there is an assumption that both groups are comparable on this measure prior to treatment. Standardised mean differences are divided by the pooled standard deviation (or the standard deviation of one group when groups are homogenous) that allows results from different scales to be combined and compared. Each study's mean difference is then given a weighting depending on the size of the sample and the variability in the data. Less than 0.4 represents a small effect, around 0.5 a medium effect, and over 0.8 represents a large effect<sup>3</sup>.

Odds ratio (OR) or relative risk (RR) refers to the probability of a reduction (< 1) or an increase (> 1) in a particular outcome in a treatment group, or a group exposed to a risk factor, relative to the comparison group. For example, a RR of 0.75 translates to a reduction in risk of an outcome of 25% relative to those not receiving the treatment or not exposed to the risk factor. Conversely, a RR of 1.25 translates to an increased risk of 25% relative to those not receiving treatment or not having been exposed to a risk factor. A RR or OR of 1.00 means there is no difference between groups. A medium effect is considered if RR > 2 or < 0.5 and a large effect if RR > 5 or <  $0.2^4$ . InOR stands for logarithmic OR where a InOR of 0 shows no difference between groups. Hazard ratios measure the effect of an explanatory variable on the hazard or risk of an event.

Correlation coefficients (eg, r) indicate the strength of association or relationship

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between variables. They can provide an indirect indication of prediction, but do not confirm causality due to possible and often unforseen confounding variables. An r of 0.10 represents a weak association, 0.25 a medium association and 0.40 and over represents а strona association. Unstandardised (b) regression coefficients indicate the average change in the dependent variable associated with a 1 unit change in the independent variable, statistically controlling for the other independent variables. Standardised regression coefficients represent the change being in units of standard deviations to allow comparison across different scales.

‡ Inconsistency refers to differing estimates of effect across studies (i.e. heterogeneity or variability in results) that is not explained by subgroup analyses and therefore reduces confidence in the effect estimate. I<sup>2</sup> is the percentage of the variability in effect estimates that is due to heterogeneity rather than sampling error (chance) - 0% to 40%: heterogeneity might not be important, 30% to 60%: may represent moderate heterogeneity, 50% to 90%: may represent considerable heterogeneity and over this is considerable heterogeneity. l² can be calculated from Q (chi-square) for the test of heterogeneity with the following formula<sup>3</sup>;

$$|^2 = \left(\frac{Q - df}{Q}\right) \times 100\%$$

§ Imprecision refers to wide confidence intervals indicating a lack of confidence in the effect estimate. Based on GRADE recommendations, a result for continuous data (standardised mean differences, not weighted mean differences) is considered imprecise if the upper or lower confidence



limit crosses an effect size of 0.5 in either direction, and for binary and correlation data, an effect size of 0.25. GRADE also recommends downgrading the evidence when sample size is smaller than 300 (for binary data) and 400 (for continuous data), although for some topics, these criteria should be relaxed<sup>5</sup>.

Indirectness of comparison occurs when a comparison of intervention A versus B is not available but A was compared with C and B was compared with C that allows indirect comparisons of the magnitude of effect of A versus Β. Indirectness of population, comparator and/or outcome can also occur when the available evidence regarding a particular population, intervention, comparator, or outcome is not available and is therefore inferred from available evidence. These inferred treatment effect sizes are of lower quality than those gained from head-tohead comparisons of A and B.

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### References

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- 2. GRADEWorkingGroup (2004): Grading quality of evidence and strength of recommendations. *British Medical Journal* 328: 1490.
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- 5. GRADEpro (2008): [Computer program]. Jan Brozek, Andrew Oxman, Holger Schünemann. Version 32 for Windows